



Docket No.: 249315US6DIV

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



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RE: Application Serial No.: 10/796,011

Applicants: Keijiro TAKE

Filing Date: March 10, 2004

For: A METHOD AND APPARATUS FOR ASSIGNING  
CODES

Group Art Unit: 2616

Examiner: PHAN, TRI H.

SIR:

Attached hereto for filing are the following papers:

**Petition Under 37 C.F.R. § 1.181**

**Copy of the Information Disclosure Statement Under 37 C.F.R. § 1.97 filed September 5, 2006**

**Copy of the Form PTO 1449 filed September 5, 2006**

**Copy of the Date-Stamped Filing Receipt dated September 5, 2006**

Our check in the amount of 0 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

Customer Number

**22850**

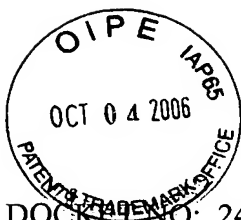
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Michael E. Monaco

Registration No. 52,041



DOCKET NO: 249315US6DIV

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
KEIJIRO TAKE : EXAMINER: PHAN, TRI H.  
SERIAL NO: 10/796,011 :  
FILED: MARCH 10, 2004 : GROUP ART UNIT: 2616  
FOR: A METHOD AND APPARATUS :  
FOR ASSIGNING CODES

PETITION UNDER 37 C.F.R. § 1.181

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicants herein petition the Commissioner to invoke his supervisory authority to require the examiner to consider the references cited in the Information Disclosure Statement filed on September 5, 2006.

The Information Disclosure Statement in conformity with the requirements of 37 C.F.R. § 1.97-1.98 (including the statement and fee required by 37 C.F.R. § 1.97 (d)) was filed on September 5, 2006, after the Notice of Allowance was issued on August 15, 2006. Copies of the Information Disclosure Statement, Form PTO 1449 and date-stamped filing receipt are attached.

Application No. 10/796,011  
Petition Under 37 C.F.R. § 1.181

In light of the above, it is respectfully requested that the Examiner be directed to provide Applicants a copy of the above-noted Form PTO 1449 filed September 5, 2006, properly initialed by the Examiner in correspondence with the rules.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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(OSMMN 06/04)

Michael E. Monaco  
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COPY



OSMM&N File No. 249315US6DIV

By: GJM/MEMO/csc

Serial No. 10/796,011

In the matter of the Application of: Keijiro TAKE

For: A METHOD AND APPARATUS FOR ASSIGNING CODES

**Due Date: September 4, 2006**

The following has been received in the U.S. Patent Office on the date stamped hereon:

- |  |                         |
|--|-------------------------|
| ■ Credit Card Form for <u>\$180.00</u> | ■ Dep. Acct. Order Form |
| ■ Information Disclosure Statement     | ■ PTO-1449              |



CSC

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COPY

Docket No. 249315US6DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Keijiro TAKE

SERIAL NO: 10/796,011

GAU: 2616

RCE FILED: September 23, 2005

EXAMINER: Tri H. Phan

FOR: A METHOD AND APPARATUS FOR ASSIGNING CODES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

COPY

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COPY

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
249315US6DIVSERIAL NO.  
10/796,011

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Keijiro TAKERCE FILING DATE  
September 23, 2005GROUP  
2616

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	US-6,621,809 B1	9/2003	Lee et al.			
	AB	US-6,633,554 B1	10/2003	Neerav N. Dalai			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.